

## 401k Averages Book

401(k) Fee Worksheet

COMPANY INFORMATION	Your Plan Information		
Total Participants			
Total Assets	\$ _____		
COMMUNICATION EXPENSES			
Enrollment (Materials)	# of Participants _____	x \$ _____	\$ _____
Ongoing (Materials)	# of Participants _____	x \$ _____	\$ _____
Enrollment (Meetings)	# of Meetings _____	x \$ _____	\$ _____
Investment Advice			\$ _____
<b>Total Communication Expenses</b>			<b>\$ _____ (1)</b>
RECORDKEEPING & ADMIN EXPENSES			
Base Fee			\$ _____
Per Participant	# of Participants _____	x \$ _____	\$ _____
Per Eligible Employees	# of Eligible Employees _____	x \$ _____	\$ _____
Distributions	Estimated #/yr _____	x \$ _____	\$ _____
Loans Origination	Estimated #/yr _____	x \$ _____	\$ _____
Loans Maintenance	Estimated # in force/yr _____	x \$ _____	\$ _____
Semi-Annual Discrimination Testing			\$ _____
5500 Filing Package			\$ _____
Other Expenses			\$ _____
<b>Total RK &amp; Admin Expenses</b>			<b>\$ _____ (2)</b>
INVESTMENT EXPENSES			
Base Fee			\$ _____
Other Asset Fees (Wrap, Admin.)	_____ %	x \$ _____	\$ _____
	<i>Expense Ratio</i>	x	<i>Assets</i>
Stable Asset/Money Market	Stable Asset/Money Mkt _____	% x \$ _____	\$ _____
U.S. Fixed Income	U.S. Fixed Income _____	% x \$ _____	\$ _____
Balanced	Balanced _____	% x \$ _____	\$ _____
Lifestyle	Lifestyle _____	% x \$ _____	\$ _____
U.S. Equity Large Cap	U.S. Equity Large Cap _____	% x \$ _____	\$ _____
U.S. Equity Mid Cap	U.S. Equity Mid Cap _____	% x \$ _____	\$ _____
U.S. Equity Small Cap	U.S. Equity Small Cap _____	% x \$ _____	\$ _____
International Equity	International Equity _____	% x \$ _____	\$ _____
Miscellaneous	Miscellaneous _____	% x \$ _____	\$ _____
<b>Total Investment Expenses</b>			<b>\$ _____ (3)</b>
TRUSTEE EXPENSES			
Base Fee			\$ _____
Per Participant	# of Participants _____	x \$ _____	\$ _____
Asset Charge	_____ %	x \$ _____	\$ _____
<b>Total Trustee Expenses</b>			<b>\$ _____ (4)</b>
GRAND TOTALS			
Total Plan Expenses	(Add 1+2+3+4)		\$ _____
Total Plan Fees Per Participant	(Total Plan Expenses divided by Total Number of Participants)		\$ _____
Total Plan Fees as a % of Assets	(Total Plan Expenses divided by Total Plan Assets)		_____ %